

## GRIEVANCE POLICY

### 1. PURPOSE AND SCOPE

It is the policy of the Parish Council to give employees the opportunity to air and seek redress for any individual employment grievance which they may have. Grievances may be any concerns, problems or complaints employees wish to raise with the Parish Council. This document describes the procedure which aims to facilitate a speedy, fair and consistent solution to an individual employee's employment grievance. This procedure is produced by the Society of Local Council Clerks (SLCC) in line with the ACAS Code of Practice 2015 as set out in the Employment Act 2008.

### 2. PRINCIPLES

- a) At every stage in the procedure the employee will be given the opportunity to state his or her case before any decision is made.
- b) Grievances will be dealt with promptly and consistently
- c) At all formal stages the employee will have the right to be accompanied by a Parish Council colleague or trade union representative during the Grievance Hearing.
- d) An employee will have the right to appeal against any outcome of a Grievance Hearing.
- e) At no time will an employee be penalised or victimised for having raised a Grievance against the Parish Council

### 3. PROCEDURE

3.1 Wherever possible, any grievance should be raised informally with the employee's line manager, or if this is inappropriate with the next level of management. In the case of the Parish Clerk raising a grievance this should be directed to the Chair of the Parish Council unless the complaint is about the Chair in which case another Parish Councillor can be identified to handle the Parish Clerk's concerns. The recipient of the grievance from the Parish Clerk should share the grievance with the relevant committee established to handle employment matters and the issues should be treated with discretion and confidentiality at all times.

3.2 **Written Statement:** If the employee does not consider it appropriate to raise the grievance informally, or if requested by the person the employee spoke to informally, then the employee should submit a formal grievance in writing to their line manager, or if this is inappropriate to the next level of management.

3.3 **Meeting or Hearing:** Generally, within a reasonable period of time e.g. five working days of receipt of a written complaint, the line manager or Chair will convene a Hearing Panel of three Parish Councillors and will arrange a meeting with the employee. The Hearing Panel will endeavour to make the meeting arrangements mutually convenient and will arrange a confidential location, free from interruptions. The Hearing Panel will investigate the substance of

## EASTINGTON PARISH COUNCIL

the complaint and hear submissions from the employee concerned together with such other submissions or evidence as s/he shall consider appropriate and take such steps as s/he shall consider necessary to resolve the issue raised. It may be necessary to adjourn the meeting in order for an investigation to take place. Careful consideration of the evidence and the necessary steps required to resolve the problems will be given to the grievance. The employee may call witnesses by prior arrangement with the Hearing Panel. There is no right for a Parish Councillor or employee implicated in an employee's grievance to cross examine the aggrieved during a grievance hearing but the panel may wish to make its own investigations through interviewing these individuals and/or other witnesses separately. The Hearing Panel may ask the employee what he or she would like to happen as a result of raising the grievance and bear this in mind when preparing the response.

**3.4 Response:** The Hearing Panel will advise the decision to the employee in writing and, where appropriate, include an action plan to assist in the resolution of the problem. Parish Councils which handle internal disputes effectively generally consider the options and costs in a timely fashion, then agree and publicise the workable solutions, monitor, review and learn from the experience. There may be some value in exploring Mediation as a way in which to resolve differences between two parties. The SLCC can advise on approaches and bodies that may be able to assist (nb external organisations may levy a fee for such services).

**3.5 Appeal:** If the employee is dissatisfied with the decision of the line manager on his/her complaint, s/he may appeal against the decision to the Chair or other Parish Councillor by written notice within five working days of the decision. An Appeal may be raised if:

- The employee thinks the finding, or action plan, is unfair
- New evidence has come to light
- The employee thinks that the procedure was not applied properly.

On receipt of the appeal the Chair of the Parish Council shall convene an Appeals Panel of three different Parish Councillors and arrange to meet and consult with the employee, the line manager or Parish Councillors concerned and any other persons, as s/he shall consider appropriate without unreasonable delay. The Appeals Panel shall consider the issues and shall then take all such steps, as s/he may consider necessary to resolve those issues. Where the Parish Council's Chair has chaired the initial grievance meeting the Vice Chair or Chair of another committee will Chair the Appeals Panel, the decision of the Appeals Panel will be final. The Parish Council will need to ensure that the Parish Councillors involved in the hearings are able to act impartially and reasonably at all times. The outcome of the appeal should be conveyed to the employee in writing in a timely manner.

**3.6 Bullying or Harassment:** If a grievance concerns alleged bullying or harassment the matter should be reported promptly to the employee's Line Manager, or another manager/Parish Councillor if more appropriate, with an indication of the required action. The complaint will then be investigated and any action taken and any resolution achieved will be reported back. If the solution is not satisfactory to the complainant, the matter will be discussed further and, if appropriate, an alternative solution agreed. The decision at this stage will generally conclude

the enquiry. If a further appeal or review is available the employee will be notified. As a result of an investigation into a claim of harassment disciplinary action may be instigated against any alleged perpetrators of the action or in the case of alleged perpetrators being Parish Councillors a Code of Conduct complaint lodged by the Parish Council through the Standards process. Refer to the Dignity at Work/Bullying and Harassment Policy for further details.

**3.7 Right to be Accompanied:** At any formal stage of the procedure an employee may be accompanied by a fellow employee of their choice or their trade union representative or official of a trade union (appropriately accredited) but as this is an internal procedure they will not be entitled to be accompanied by any external supporter e.g. partner, parent, solicitor etc. This right to be accompanied is enshrined in the Employment Relations Act 1999. To exercise this right the employee should make a reasonable request. The companion will be allowed to address the Hearing Panel, put and sum up the employee's case, respond to views expressed at the Hearing and to confer with the employee during the Hearing (sometimes in an adjournment) but is not allowed to answer questions on the employee's behalf, address the Hearing if the employee does not wish it or prevent the employer from explaining their case.

### **3.8 Hearing Panels**

The SLCC advise that Parish Councils establish Hearing Panels to hear disciplinary and grievance hearings on an annual basis so that if a dispute does arise in the workplace the Parish Councillors involved are already trained and briefed on their duties as a Hearing or Appeal Panel member. In situations where individual Parish Councillors are implicated in the dispute or have undertaken an investigatory role then they will need to be substituted as Hearing/Appeal Panel members.

**3.9 Confidentiality:** So far as is reasonably practicable, the Parish Council will keep any grievance or complaint of harassment confidential between the manager or Parish Councillor investigating the grievance or complaint, the employee and the person about whom the grievance or complaint is made. If it is necessary to investigate the matter with any other employee or person, the employee will be so advised.

**3.9 Record Keeping:** In all cases, written records of the nature of the grievance raised, the employer's response, action taken (with reasons), details of any appeal and subsequent developments will be retained and kept in accordance with the Data Protection Act 1998.

### **3.10 Grievances raised during Disciplinary**

In some circumstances when a disciplinary process has commenced an employee chooses to exercise his/her right to raise an internal grievance about the employment relationship with the Parish Council or individual Parish Councillors. The SLCC recommends, in line with ACAS advice, that disciplinary matters are placed on hold until grievances have been aired and actions towards a resolution have been progressed. In exceptional circumstances it is pragmatic to deal with the two disputes concurrently but SLCC would advise caution and specialist advice should be sought if this arises.

#### **4. GETTING IT WRONG**

Following the repeal of the 2004 Dispute Resolution regulations employees no longer have to raise a grievance before going to an employment tribunal. However, establishing a mechanism for differences and disputes to be resolved internally can often allow the employment relationship to continue. Failure to follow the ACAS Code of Practice (available at [www.acas.org.uk](http://www.acas.org.uk)) when dealing with grievances can lead to an Employment Tribunal awarding an uplift of an award against the Parish Council of up to 25%. Tribunals dealing with constructive dismissal and discrimination claims are particularly interested in whether the employer followed a procedure when dealing with an internal dispute and whether the employer acted fairly and reasonably. One way in which to avoid such a penalty is to have an agreed procedure, communicate that procedure to staff and Parish Councillors, revisit and review the procedure regularly and have some training for those who are expected to operate the procedure.